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mail

Docket No. 216593US0/shb

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kou HASEGAWA

SERIAL NO: 09/995,613

GAU: 1765

FILED: November 29, 2001

EXAMINER: L. VINH

FOR: POLISHING METHOD



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |            | ATTY DOCKET NO.<br>216593US0     |                 | SERIAL NO.<br>09/995,613   |                            |
|--|----|--|------------|----------------------------------|-----------------|--|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |            | APPLICANT<br>Kou HASEGAWA        |                 |  |                            |
|  |    |  |            | FILING DATE<br>November 29, 2001 |                 | GROUP<br>1765  |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |            |                                  |                 |  |                            |
| EXAMINER INITIAL   |    | DOCUMENT NUMBER  | DATE       | NAME                             | CLASS           | SUB CLASS  | FILING DATE IF APPROPRIATE |
|  | AA | 4,600,469  | 07/15/1986 | A. J. FUSCO, et al.              |                 |  |                            |
|  | AB | 3,979,239  | 09/07/1976 | R. J. WALSH                      |                 |  |                            |
|  | AC |  |            |                                  |                 |  |                            |
|  | AD |  |            |                                  |                 |  |                            |
|  | AE |  |            |                                  |                 |  |                            |
|  | AF |  |            |                                  |                 |  |                            |
|  | AG |  |            |                                  |                 |  |                            |
|  | AH |  |            |                                  |                 |  |                            |
|  | AI |  |            |                                  |                 |  |                            |
|  | AJ |  |            |                                  |                 |  |                            |
|  | AK |  |            |                                  |                 |  |                            |
|  | AL |  |            |                                  |                 |  |                            |
|  | AM |  |            |                                  |                 |  |                            |
|  | AN |  |            |                                  |                 |  |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |            |                                  |                 |  |                            |
|  |    | DOCUMENT NUMBER  | DATE       | COUNTRY                          | TRANSLATION     |  |                            |
|  | AO | WO 94/04599  | 03/03/1994 | WIPO                             | YES             | NO   |                            |
|  | AP | 1 046 466  | 10/25/2000 | EUROPE                           |                 |  |                            |
|  | AQ |  |            |                                  |                 |  |                            |
|  | AR |  |            |                                  |                 |  |                            |
|  | AS |  |            |                                  |                 |  |                            |
|  | AT |  |            |                                  |                 |  |                            |
|  | AU |  |            |                                  |                 |  |                            |
|  | AV |  |            |                                  |                 |  |                            |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b> |    |  |            |                                  |                 |  |                            |
|  | AW |  |            |                                  |                 |  |                            |
|  | AX |  |            |                                  |                 |  |                            |
|  | AY |  |            |                                  |                 |  |                            |
|  | AZ |  |            |                                  |                 | <input type="checkbox"/> Additional References sheet(s) attached |                            |
| Examiner   |    |  |            |                                  | Date Considered |  |                            |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.